

The quick reference toolkit for COLPs, COFAs, and compliance professionals

"This book is of great value to anyone undertaking a risk management role within law firms and should form part of the essential library of Compliance Officers and risk managers." – Iain Miller, Head of Regulation at Bevan Brittan LLP.

Many law firm compliance officers are struggling due to the increasingly complex and fast-changing regulatory environment. There is growing emphasis on monitoring and implementing systems, and for the COLP and COFA to be able to demonstrate compliance.

The Compliance Calendar Toolkit for Law Firms, through month-by-month trackers and checklists, ensures you will have an audit trail to show you have dealt with the main systems, controls, policies, and procedures which need to be addressed. In short, it is a practical blueprint to ensure your firm's compliance.

This quick reference toolkit is essential reading for COLPs, COFAs, and any other law firm compliance professional. It is designed to alleviate the stress of your varied duties, and provides:

- Manageable to-do lists;
- Targeted COLP and COFA articles addressing areas of concern;
- Cutting-edge tips based on the authors' experience;
- Clear guidance on what you have to do to comply;
- Monthly, quarterly, semi-annual, and annual checklists (included on an accompanying CD).

The report and accompanying CD ROM can be used at any point in the year.

About the authors

The authors – Francis Dingwall, Frank Maher, and Sue Mawdsley – are from Legal Risk LLP, a firm of practising solicitors who combine cutting-edge legal advice with their law firm management experience. They advise leading insurers, lenders, brokers, major City law firms, and professional firms of all sizes both nationally and internationally.

How to order this report

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